

Date: \_\_\_\_\_

Inward No: TC \_\_\_\_\_

To,  
Ghalla Bhansali Stock Brokers Pvt Ltd  
Depository Participant (IN301854), Dadar (E), Mumbai – 400 014.

Dear Sir,

**Sub: Procedure for transmission case.**

With reference to my/our demat account \_\_\_\_\_ held with you I / we would like to inform you that the 1<sup>st</sup> / 2<sup>nd</sup> / 3<sup>rd</sup> holder had expired. Hence I/we kindly request you to let us know me/us the procedure for transfer of securities held in the said demat account.

Deceased holder name : \_\_\_\_\_

Expired on : \_\_\_\_\_.

**The copy of death certificate is enclosed herewith (Original or Notarised true copy).**

<b>PAN of deceased</b>										
<b>Date of Birth of deceased</b>										

Yours truly,

Holder(s) Name	Signature(s)

=====

**For GBSB office use only:**

**For TC matter DIS serial No :**

T	R	9	9	9	9	9	9	9	9	9	9
---	---	---	---	---	---	---	---	---	---	---	---

Particular	DP Instruction Id	Captured by	Released by
Transmission			
Closure No.			
SI No.			
Freeze No.			
KYC Done	Yes / No	KRA -	

**TRANSMISSION FORM**

To,  
**Ghalla Bhansali Stock Brokers Pvt. Ltd.**  
DP ID : IN301854  
'Devansh', 133, D.S.P. Road, Near Ranjit Studios,  
Dadar(East), Mumbai – 400 014.

Date : \_\_\_\_\_

**(1) I/We, the undersigned, being the**

- ☐ Executor(s) of the Will      ☐ Legal heir(s)      ☐ Administrator(s) of the Estate  
☐ Joint holder(s)      ☐ Successor(s) to the Estate      ☐ Nominee      ☐ Survivors of HUF

of Mr./Mrs./Ms. \_\_\_\_\_,  
Mr./Mrs./Ms. \_\_\_\_\_ and  
Mr./Mrs./Ms. \_\_\_\_\_, the deceased, of which \*nomination /  
probate/ letter of administration / succession certificate was duly granted to me / us on the \_\_\_\_\_ day of  
\_\_\_\_\_ of \_\_\_\_\_ hereby request you to register me/us as the beneficial owner(s) in respect of  
the securities standing in the name of the said deceased under Client ID \_\_\_\_\_ DP ID **IN301854**.

**(2) I/We give hereunder the details of my/our account with a Participant to which the security balances are requested to be transmitted:**

Name	DP ID	Client ID
	IN	

**(3) List of Documents enclosed (for Individual accounts) (tick as applicable):**

- ☐ **A** For surviving holder(s) in a joint account  
☐ Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.
- ☐ **B** For nominee of the deceased:  
☐ Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.  
☐ In case the account of the claimant is not with the Participant, copy of Client Master Report of the account of the nominee.
- ☐ **C** For legal heir(s)/legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding not exceeding Rs.5 lakh on the date of application  
☐ Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer  
☐ In case the account of the claimant is not with the Participant, copy of Client Master Report of the account of the claimant.  
☐ Indemnity (format given at Annexure OC)  
☐ Affidavit (format given at Annexure OD)  
☐ No objection certificate(s) (format given at Annexure OE)  
☐ Family Settlement Deed [as an alternate to No objection certificate(s)]
- ☐ **D** For legal heir(s)/Legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding was Rs. 5 lakh or more on the date of application  
☐ Copy of death Certificate duly attested by a Notary Public or by a Gazetted Officer  
☐ In case the account of the claimant is not with the Participant, copy of Client Master Report of the account of the claimant.  
☐ Succession certificate  
☐ Letter of Administration  
☐ Probate of the Will

**(4) Declaration:**

*(Applicable only for legal heir(s)/legal representative(s) where deceased was a sole holder and no nomination in the account - Value of holding not exceeding Rs. 5 Lakh on the date of application)*

We declare that the below mentioned person(s) are the only legal heir(s) of the deceased and there are not other legal heir(s). Of these, as specified below, some/all of them are claimants and some of them have given a No Objection Certificate in favour of other legal heir(s). Details are given below:

Sr. N	Name of the legal heir(s)	Specify whether a claimant or given a No Objection Certificate

**(5) List of Documents enclosed (for HUF accounts) (tick as applicable):**

**A** Value of holding not exceeding Rs.10 lakh on the date of application. [Where there is an objection from any member of HUF, documents mentioned at **B** below must be submitted]

- ☐ Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.  
☐ Indemnity (format given at Annexure OF)  
☐ Affidavit (format given at Annexure OG)

**B** Value of holding was Rs. 10 lakh or more on the date of application.

- ☐ Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer  
☐ Court Decree  
☐ Deed of Partition

**C** Separation/ partition of HUF:

- ☐ Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer  
☐ Settlement Deed  
☐ Deed of Partition  
☐ Court Decree

**(6) Signatures:**

Sr	Name of claimant	Signature of claimant
1		
2		
3		

- Notes:** 1. This request form should be signed by the surviving joint holder(s)/ legal heir(s)/ legal representative(s)/ nominee / all surviving members of the HUF, as the case may be.  
2. \* Strike off whichever is not applicable.